

U.S. Application No. 09/865,026
Docket No. YOR920000165US1
(YOR.203)

2

AMENDMENTS TO THE CLAIMS:

1. (Original) A method of preventing counterfeiting of a smart card, comprising:
providing a smart card with a cryptographic structure for authorizing the smart card
which cannot be accessed completely by a predetermined small number of readings,
wherein said cryptographic structure can be built only by whoever emits the card or an
agent thereof.
2. (Original) The method of claim 1, further comprising:
providing a reader for reading said smart card and including a database holding
information related to unauthorized smart cards, said reader being on-line, such that said reader
is operatively connected to a network, only when said database of said reader is being updated by
said network.
3. (Original) The method of claim 1, wherein an entire process of said method is
performable off-line.
4. (Original) The method of claim 1, wherein said smart card carries thereon predetermined
N channels as C1, C2,..., CN, where N is an integer,
wherein each channel Ci, with i equal to 1, 2, ..., N, carries a pair of numbers (hi, li), and
wherein hi is the ith *high number* and li is the ith *low number*.

U.S. Application No. 09/865,026
Docket No. YOR920000165US1
(YOR.203)

3

5. (Original) The method of claim 4, further comprising:

using public key cryptography with associated encoding and decoding functions V_i and V_i^{-1} in each channel i ,

wherein each function V_i^{-1} is known publicly, and V_i is known only to a predetermined party representing an owner of the smart card.

6. (Original) The method of claim 5, wherein for each i in $1, 2, \dots, N$, the pair (h_i, l_i) is such that $h_i = V_i(l_i)$, or $h_i = V_i(K(l_i))$, where K represents a publicly-known cryptographic hash function, and

wherein each l_i contains a plurality of symbols for redundancy.

7. (Original) The method of claim 6, further comprising:

processing, using an invertible function f which is made public, such that the low numbers in said smart card satisfy $l(i+j) = f^j(l_i)$, where f^j represents the j^{th} iteration of the function f .

8. (Previously presented) The method of claim 6, wherein a reader includes a random number generator, which, when a card is read, chooses a pair (a, b) of distinct numbers with $a < b$ between 1 and N ,

wherein before processing the smart card, the reader obtains the pair (h_a, l_a) and h_b ; using the public keys V_a^{-1} and V_b^{-1} , checking by the reader whether the pairs (h_a, l_a) and (h_b, l_b) are compatible, and, consequently, that the numbers h_a, l_a , and h_b belong to a same legitimate card.

U.S. Application No. 09/865,026
Docket No. YOR920000165US1
(YOR.203)

4

9. (Original) The method of claim 4, wherein a reader obtains a content of only two of said channels.
10. (Original) The method of claim 1, further comprising:
periodically communicating, by a reader of said smart card, with a data base where a predetermined characteristic of the card is checked.
11. (Original) The method of claim 10, wherein the predetermined characteristic comprises whether a smart card has delivered more than a predetermined amount of money to a user of the smart card.
12. (Original) The method of claim 11, wherein if a card is detected as delivering too much money, the data base communicates a corresponding number 11 to all readers in a network, so that smart cards carrying said corresponding number are declined.
13. (Original) The method of claim 1, wherein said cryptographic structure is changed periodically.
14. (Original) The method of claim 1, wherein said smart card is invalidated after a predetermined time of usage.

U.S. Application No. 09/865,026
Docket No. YOR920000165US1
(YOR.203)

5

15. (Original) The method of claim 8, wherein said pairs (hi, li) to be contained on the smart card are generated by:

choosing a prefix of l1 once for all transactions, or changed whenever needed, wherein said prefix is publicly known; and

providing a sequence, such that the sequence is generated so that a same number is not chosen twice, and so that corresponding other li's are not chosen as new l1s.

16. (Original) The method of claim 15, further comprising:

concatenating the prefix and the sequence to form l1; and

choosing a function f which is invertible and is publicly known, to construct l2 = f(l1), l3 = f(l2), and so forth.

17. (Original) The method of claim 16, wherein the function f is chosen to be the identity map, in which case l1 = l2 = l3 = ...=IN.

18. (Original) The method of claim 17, choosing, for a number N, N public key-private key pairs, such that a first private key V1 is for computing h1 = V1(l1), a second private key V2 is for computing h2 = V2(l2), and so on.

19. (Original) The method of claim 18, further comprising:

verifying whether the smart card is authentic; and

checking whether the smart card is not in a list of cards to be refused.

U.S. Application No. 09/865,026
Docket No. YOR920000165US1
(YOR.203)

6

20. (Original) The method of claim 1, wherein, when the smart card is read by a reader, a random generator is prompted which provides two integer numbers, a and b, which are not between 1 and N, with $a < b$.

21. (Original) The method of claim 20, wherein said numbers a, b are transmitted to the smart card which delivers two high numbers ha , hb , and a low number la in a channel a, and wherein the pair (a, b), together with a function f in a memory in the reader, are used to compute the low number $lb = f^{(b-a)}(la)$, said memory in said reader delivering public keys Va^{-1} and Vb^{-1} .

22. (Original) The method of claim 21, wherein the public keys are used by a comparator together with the pairs (ha, la) and (hb, lb), to verify that the pairs are compatible with the corresponding keys, and that the pairs are from a same legitimate card.

23. (Original) The method of claim 1, further comprising:
performing a final validation of the smart card by at least one of:
contacting a central data base if an entire transaction is made on-line with no penalty; and
checking with a local data base in a reader, said local database being refreshed periodically by contact between said local database and said central database.

U.S. Application No. 09/865,026
Docket No. YOR920000165US1
(YOR.203)

7

24. (Original) A method of preventing counterfeiting of a smart card, comprising:
providing a smart card such that none of confidential information and a cryptographic
key for authorizing the smart card, is carried on the smart card;
reading said card by a reader such that in each reading, said reader reads only a
predetermined small amount of information which makes the card unique.

25. (Original) The method of claim 24, wherein a transaction performed under said method
comprises substantially an off-line transaction.

26. (Original) A system for preventing cloning of a smart card, comprising:
a smart card such that a cryptographic structure for authorizing the smart card is not
carried on the smart card; and
a reader for reading the smart card and including a database for linking to a network and
being updated periodically with a list of unauthorized smart cards,
wherein said cryptographic structure is kept secret by whoever emits the card or an agent
thereof.

27. (Original) A signal-bearing medium tangibly embodying a program of machine-readable
instructions executable by a digital processing apparatus to perform a method for preventing
counterfeiting and cloning of smart cards, comprising:
providing a smart card with a cryptographic structure for authorizing the smart card
which cannot be accessed completely by a predetermined number of readings,

U.S. Application No. 09/865,026
Docket No. YOR920000165US1
(YOR.203)

8

wherein said cryptographic structure can be built only by whoever emits the card or an agent thereof.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER: _____**

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.